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Docket No. 006357/00014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Yen et al. **EXAMINER:** Menon, K. S.
SERIAL NO.: 09/889,901 **GROUP:** 1723
FILED: 24 July 2001 **CONF. NO.:** 3005
FOR: Method of Forming a Perfluorinated Thermoplastic
Hollow Fiber Module

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450
FILED VIA EXPRESS MAIL

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicant herewith submits the non-U.S. publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

08/09/2005 CNGUYEN2 00000041 190733 09889901

01 FC:1806 180.00 DA

REMARKS

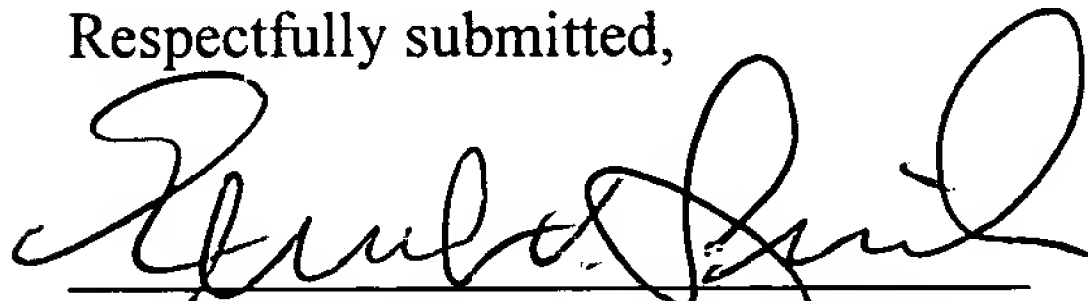
The art cited herein was identified in an Office Action issued in a counterpart European Patent Application. In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed before the mailing date of a Final Rejection or Notice of Allowance, and the requisite fee under Rule 1.17(p) is included herein. It is respectfully requested that the document shown on the attached form(s) PTO-1449 be made of record in this application.

Supplemental IDS
U.S.S.N. 09/889,901
Page No. 2

FEE AUTHORIZATION

The Commissioner is hereby authorized to charge the fee associated with this document to Deposit Account No. 19-0733.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Ernest V. Linek', written over a horizontal line.

Ernest V. Linek (Reg. No. 29,822)

Attorney for Applicant

BANNER & WITCOFF, LTD.

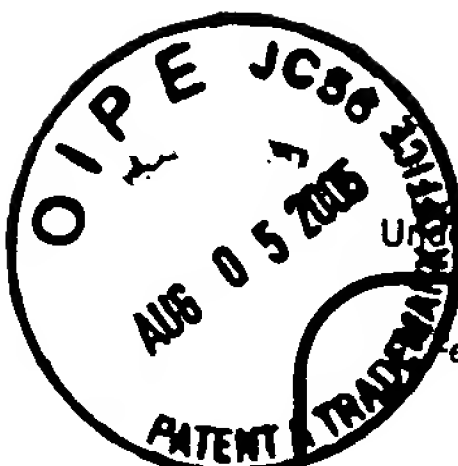
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Boston, MA 02109-1775

Tel: (617) 720-9600

Date: August 5, 2005

Document No. 118549



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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL
for FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$180.00

Complete if Known

Application Number	09/889,901
Filing Date	July 24, 2001
First Named Inventor	Yen et al.
Examiner Name	Menon, K. S.
Art Unit	1723
Attorney Docket No.	006357-00014

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) : _____☒ Deposit Account Deposit Account Number: 19-0733 Deposit Account Name: Banner & Witcoff, LTD.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s)☐ Credit any overpayments

Under 37 CFR 1.16 and 1.17

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 30 (including Reissues)

Multiple dependent claims

Small Entity	
Fee (\$)	Fee (\$)
50	25
200	100
360	180
Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)
_____	_____

Total Claims	Extra Claims	Fee(\$)	Fee Paid (\$)
-20 or HP= 0	x	_____	_____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee(\$)	Fee Paid (\$)
- 3 or HP= 1	x	_____	_____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = _____	/ 50 = _____	(round up to a whole number) x	_____	_____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) : Supplementary Information Disclosure Statement

Fees Paid (\$)

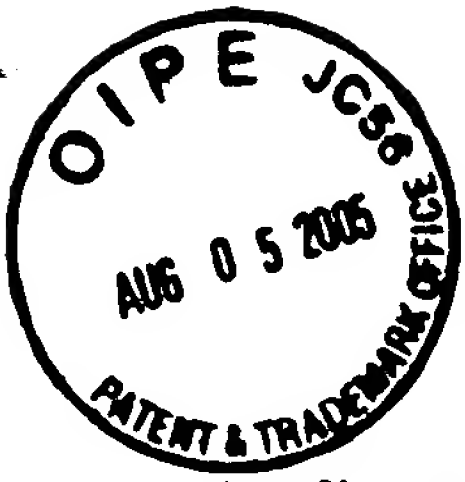
\$180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	29,822	Telephone	617-720-9600
Name (Print/Type)	Ernest V. Linek	Date	08/05/05		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(B&W No. 006357-00014)

Applicant: Yen et al.
Serial No.: 09/889,901
Filed: July 24, 2001
Title: Method of Forming a Perfluorinated Thermoplastic Hollow Fiber
Module
Examiner: Menon, K. S.
Group: 1723
Conf. No.: 3005

CERTIFICATE OF EXPRESS MAIL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Express Mail Receipt No. **EV641701327US**
Date of Deposit: August 4, 2005

I hereby state that the following:

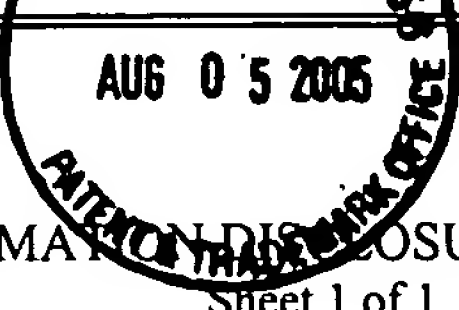
- ☒ Supplementary Information Disclosure Statement
- ☒ Form 1449
- ☒ Copies of Art Cited Excluding U.S. Patents or Patent Publications
- ☒ Fee Transmittal
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Brigid Laffey

August 5, 2005
Dated

Brigid Marie Laffey
Signature of person mailing above-identified papers

USPTO Form 144 9				U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 6357.00014		Serial No. 09/889,901	
INFORMATION DISCLOSURE CITATION Sheet 1 of 1						Applicant(s): Yen et al.			
						Filing Date: 24 July 2001			Group: 1723
U.S. PATENT DOCUMENTS									
Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)		
	AA	5,695,702	12/0/1997	Niermeyer	264	129	07/01/1994		
FOREIGN PATENT DOCUMENTS									
Examiner Initial		Document No.	Date of Publication	Country	Class	Subclass	Translation		
							YES	NO	
	AB	EP-A-0803281	10/29/1997	EPO	B01D	63/02			
	AC	JP-A-4354521	08/12/1992	Japan	B01D	63/02		X	
	AD	EP-A-0299459	01/18/1989	EPO	B01D	13/01			
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)									
	AE	English Abstract of JP-A-4354521							
EXAMINER						DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.**Copies of references not provided at the time of this submission.									